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# **Employee Post-Travel Disclosure of Travel Expenses**

RECEIVED
SEGRETARY OF THE SENATE
PUBLIC RECORDS

Post-Travel Filing Instructions: Complete this form within 30 days of returning from travel. Submit all forms to the Office of Public Records in 232 Hart Building.

2019 MAY 30 PM 2: 12

•	ule 35.2(a) and (c), I m r me. I also certify that	•	osures with respect to	travel expenses that have been or v	<b>N</b>
☑ A <u>copy</u> of the <i>Prive</i>	ate Sponsor Travel Cer	rization (Form RE-1), rtification Form with al	l attachments (itinera		
Private Sponsor(s) (lis	t-all): The Aspen In	stitute Inc. (Socrate	es Program) ("AIS	P")	
Travel date(s): May 1	10 -12, 2019				_
	er:   Spouse   GING DID NOT INCRI	Child		ISE OR DEPENDENT CHILD, ONLY	
Expenses for Employ		EAT ENSES. (Attacti audi	nonai pages ii necessai	iy.)	
	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)	
☐ Good Faith Estimate  ☑ Actual Amount	\$101.20 for bus	\$246.26 for two nights	\$177.39 for breakfast, lunch, and dinner for two days	\$47 per day for conference room fees (includes meeting facilities, set up/take down, cleaning)	
Expenses for Accomp	panying Spouse or De	pendent Child (if appli	cable): .	•	
-	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)	
☐ Good Faith Estimate ☐ Actual Amount					
	_			Attach additional pages if onsible governance,	
					_
	ongress at the Asp	en Insitute's Wye R	aver Campus in iv	iaryianu.	

I have made a determination that the expenses set out above in connections with travel described in the Employee Pre-Travel

Authorization form, are necessary transportation, lodging, and related expenses refined in Rule 32

5-29-19

(Date)

TO BE COMPLETED BY SUPERVISING MEMBER/OFFICER:

(Signature of Supervising Senator/Officer)

#### PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should NOT submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee MUST also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

Spo	nsor(s) of the trip (please list all sponsors): The Aspen Institute Inc. (Socrates Program) ("AISP")
Des	cription of the trip: The AISP is sponsoring a Socrates seminar to discuss leadership,
	ponsible governance and the role of Congress.
Date	es of travel: May 10 -12, 2019
Plac	e of travel: Queenstown, Maryland
Nan	ne and title of Senate invitees: See attached.
I ce	rtify that the trip fits one of the following categories:
	(A) The sponsor(s) are not registered lobbyists or agents of a foreign principal and do not retain or employ registered lobbyists or agents of a foreign principal and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip.
	(B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (see question 9).
	I certify that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
	I certify that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
l ce	rtify that:
	The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for <i>de minimis</i> lobbyist involvement.
	The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (see question 9).

9.	USE ONLY IF YOU CHECKED QUESTION 6(B)
	I certify that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:
	(A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member officer, or employee on any segment of the trip.
	(B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip (see questions 6 and 10).
	(C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip.
10.	USE ONLY IF YOU CHECKED QUESTION 9(B)  If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:
11.	An itinerary for the trip is attached to this form. I certify that the attached itinerary is a detailed (hourby-hour), complete, and final itinerary for the trip.
12.	Briefly describe the role of each sponsor in organizing and conducting the trip:
	The Aspen Institute Socrates Program is the sole sponsor of the seminar and organized and conducted
	the trip (including selected the participants, developed the agenda, planned the seminar and coordinated
	the travel). (See attached Appendix)
13.	Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:  The Aspen Institute is an educational and policy studies non-partisan, non-profit organization whose
	mission is to foster leadership based on enduring values and provide a non-partisan forum for the
	exchange of ideas. (See attached Appendix)
14	* Briefly describe each enonger's prior history of enongering congressional trians
14.	*Briefly describe each sponsor's prior history of sponsoring congressional trips:  The Aspen Institute has a nearly forty year history of conducting non-partisan educational congressional
	trips; the Socrates Program, specifically, also has conducted congressional trips.

The Aspen mandic	organizes numerous ed	ucational activities, ir	ncluding briefings, rou	ndtables, forums
and conferences fo	г a diverse range of atter	ndees including feder	al and state policymal	kers, business and
organization leader	s, members of the press	and the general publ	lic. (See attached App	endix.)
Total Expenses for	Each Participant:			
-	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
Good Faith estimate  Actual Amounts	\$78 for bus	\$236 for two nights (\$118 per night)	\$142 for breakfast, lunch, and dinner for two days (\$71 per day)	\$47 per day for conference room fees (includes meeting facilities, set up/take down, cleaning)
participation or b) t congressional partic	trip involves an event the trip involves an event ipation: an event that is organize	that is arranged or or	rganized <i>specifically</i> w	vith regard to
Reason for selecting	the location of the even	t or trip		
The conference cer	nter is close to Washingto	on, DC, which provide	es for ease of travel fo	r participants
and moderator(s).				
Name and location	of hotel or other lodging	facility:		
Wye River Confere	nce Center, 600 Aspen E	or, Queenstown, MD	21658	
Reason(s) for select	ing hotel or other lodging	g racinty:		
· •	ing hotel or other lodging nter has sufficient meetin		to support off-the-rec	ord, non-partisan
The conference ce		g space and lodging	• • •	·

21.	Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:
	Rooms are \$118/ day, which reflects the per diem limit.
	Meals are \$71/day, which reflects the per diem limit.
22.	Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:
	Round-trip transportation will be provided by chartered, coach-class bus between Washington D.C. and
	the conference center.
23.	I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).
24.	List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:
	None
25.	I hereby certify that the information contained herein is true, complete and correct. (For trips involving more than one sponsor, you must include a completed signature page for each additional sponsor):
	Signature of Travel Sponsor:  Signat
	Name and Title: Elliot Gerson, Executive Vice President, Policy & Public Program
	Name of Organization: The Aspen Institute
	Address: 2300 N Street NW, Washington DC 20037
	Telephone Number: 202-736-5859 (Lisa Jones, Deputy General Counsel)
	Fax Number:
	E-mail Address: lisa.jones@aspeninstitute.org
	•

Appendix to Private Sponsor Travel Certification Form, Aspen Institute Socrates Program for the Emerging Governance Leaders Seminar, May 10-12, 2019

Question 12. Briefly describe the role of each sponsor in organizing and conducting the trip (response continued) – The Aspen Institute Socrates Program received general, unrestricted funding from the Democracy Fund to support the Socrates Program. The funding was not earmarked for this trip. Democracy Fund did not play a role in organizing or planning the conference, including, but not limited to the content, agenda, moderators, attendees or other logistics.

Questions 13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to the mission (response continued) —The Socrates Program seeks to provide a forum for emerging leaders from various professions to convene and explore contemporary issues through expert-moderated dialogue. The purpose of the trip is to conduct a non-partisan educational seminar on leadership, responsible governance and the role of Congress that encourages off-the-record, candid exchanges of ideas to support staffers' learning and development.

Question 15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips) (response continued) - Socrates Program organizes seminars every year bringing together a diverse group of professional to explore issues, discuss current topics and encourage dialogue and exchange of ideas.



#5. Name and titles of Senate invitees. This was an open application process and the following list is of the accepted attendees:

Ms. Elizabeth Coats
Staff Assistant
U.S. Senate Committee on Appropriations

Mr. Anthony Mitchell
Legislative Correspondent
Office of Senator Jeff Merkley

Ms. Alaura Ervin
Deputy Legislative Assistant
Office of Senator Tim Scott

Mr. Oliver Riquelme
Legislative Correspondent
Office of Kirsten Gillibrand

Mr. Rachit Choksi
Oversight & Investigations Counsel
U.S. Senate Committee on Environment and
Public Works

Mr. Wilson Shirley
Speech Writer
Office of Senator Roger Wicker



# **Emerging Governance Leaders Seminar**

Leadership & the Role of Congress

(Moderated by Dr. Colleen Shogan)

# Seminar Weekend Schedule

May 10-12, 2019
Aspen Institute Wye River Campus
Queenstown, Maryland

Seminar Location: River House

# Friday, May 10th

5:00 p.m. Shuttle departure from Capitol Hill

6:45 p.m. Shuttles arrives at Aspen Institute Wye River Campus

7:00 p.m. - 8:00 p.m. Check-In & Registration

River House

8:00 p.m. – 9:30 p.m. Socrates Opening Dinner and Program

"Responsible Governance and Your Role in Congress"

A conversation addressing norms of governance, hyperpartisanship, political polarization, and the experiences of

Congressional staffers.

Moderated by Cordell Carter, Socrates Program Director

River House Dining Room

Saturday, May 11th

7:45 a.m. – 9:00 a.m. Breakfast

River House Dining Room

9:00 a.m. – 12:00 p.m. Seminar Session I: The Evolution of Congress: How Did We

Get Here?

River House Main Conference Room

Seminar discussion based on the following texts and videos:

Origins:

Hamilton or Madison, "No. 57" and "No. 63," The Federalist Papers, 1788

Page 1 of 4



- Davidson, Oleszek, Lee, and Schickler. "Institutional Evolution," Congress and Its Members
- Nelson Polsby, "Causes of Liberalization: Rise of the Two Party South," and "How Congress Evolves: Overview of the House," How Congress Evolves Barbara Sinclair, "The New World of U.S. Senators," Congress Reconsidered

What Does It Mean to Represent? Mr. Smith versus Charlie Wilson

"Mr. Smith Goes To Washington" movie clip. 1939 "Charlie Wilson's War" movie clip. 2007

10:30 a.m. - 10:45 a.m.

Morning Break

River House Break Room

12:00 p.m. - 1:00 p.m.

Working Lunch with Discussion of Seminar Session I

Conversation will consider the evolution of Congress and

what it means to represent constituents and communities.

River House Dining Room

1:00 p.m. - 4:00 p.m.

# Seminar Session II: Congress and Its Governance Challenges

River House Main Conference Room

Seminar discussion based on the following texts:

 Davidson Oleszek, Lee, and Schickler. "Congress as Institution," Congress and Its Members
 Barbara Sinclair, "Assessing Unorthodox Lawmaking,"
 Unorthodox Lawmaking

#### Resources and Knowledge in Congress

- Kevin Kosar and various authors, "Restoring Congress as the First Branch." R Street Policy Study No. 50
- Colleen Shogan, "The Knowledge Culture of Congress," Leg Branch Blog Matthew Glassman, "Why Congress Doesn't Always Do The Right Thing." New York Times

#### Technology and Changing Norms of Representation

- Samantha McDonald, "Staff Perspectives on the State of Constituent Correspondence in the U.S. Congress," Leg Branch Blog
- Lorelei Kelley and Robert Bjarnason, "Our Modern Congress Doesn't Understand 21st Century Technology," TechCrunch, March 6, 2018



# Partisanship and Policymaking

 Sarah Binder, "Legislating in Polarized Times," Congress Reconsidered

2:30 p.m. - 2:45 p.m.

Afternoon Break

River House Break Room

4:30 p.m. – 6:30 p.m.

Historical Tour of Wye

The Aspen Institute Wye campus is a site imbued with historical moments in American political discourse and policy decisions – from early days as the home of William Paca, a signer of the Declaration of Independence and the third governor of Maryland, to more recent moments as the site for negotiations between Israel and the Palestine Authority. This historical tour will connect the seminar to place and embody the rich history of American discourse and governing in Washington and beyond.

Wye Campus

7:00 p.m. – 8:30 p.m.

# Working Dinner with Discussion of Seminar Session II

Conversation will consider the governance challenges of Congress, exploring what resources and knowledge inform Congress and how technology and changing norms of representation are affecting governance.

River House Dining Room

8:45 p.m. – 10:00 p.m.

#### Fireside Chat

"Life & Lessons in Congress"

A conversation with William Hoagland, Bipartisan Policy Center Senior Vice President and Lorelei Kelly, Fellow-In-Residence at the Georgetown University Beeck Center for Social Impact + Innovation

Conversation will cover the personal and professional realities of pursuing a career as a congressional staffer and juxtapose them with the larger implications of representing congress, focusing on how congress's role as the interlocutor of the American people, particularly in the digital age, is evolving, and with it the roles and responsibilities of its staff.

Moderated by Cordell Carter, Socrates Program Director River House Library



# Sunday, May 12th

7:45 a.m. - 9:00 a.m.

**Breakfast** 

River House Dining Room

9:00 a.m. – 12:00 p.m.

Seminar Session III: Congressional Reform

River House Main Conference Room

Seminar discussion based on the following texts:

What's Working Well and What Isn't

Colleen Shogan, "Defense Authorization: The Senate's Last Best Hope," Party and Procedure in the United States Congress

Josh Huder, "Democrats Want to Modernize the House.
 Good Luck With That," The Washington Post

Reform Proposals

 Zach Graves, "Rebuilding a Technology Assessment Office in Congress," R Street Policy Study 152
 Congressional Institute, "Congressional Reform Concepts"

Jim Newell, "The Shutdown to End All Shutdowns,"
 Slate

10:30 a.m. - 10:45 a.m.

Morning Break

River House Break Room

12:00 p.m.

Check-out

12:00 p.m. – 1:00 p.m.

Working Lunch with Discussion of Seminar Session III

Conversation will consider the Articles 1 and 2 of the Constitution, and how foundations of the U.S. government

inform and influence the role of Congress today.

River House Dining Room

1:00 p.m.

Shuttle departs for Capitol Hill

2:30 pm

Shuttle drops off participants

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<b>EMPLOYEE PRE-TRAV</b>	EL AUTHORIZATION
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Pre-Travel Filing Instructions: Complete and submit this form at least 30 days prior to the travel departure date to the Select Committee on Ethics in SH-220. Incomplete and late travel submissions will <u>not</u> be considered or approved. This form <u>must</u> be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

Date/Time Stamp:
_

at ethics.senate.gov. Retain a copy of your required post-travel disclosure.	entire pre-travel submission for your
Name of Traveler:	Anthony Mitchell
Employing Office/Committee:	Senator Jeffrey A. Merkely
Private Sponsor(s) (list all): The Aspen Is	nstitute Inc. (Socrates Program) ("AISP")
Travel date(s): May 10 - 12, 2019  Note: If you plan to extend the trip f	for any reason you <u>must</u> notify the Committee.
Destination(s): Queenstown, Maryland	- -
Explain how this trip is specifically connected	ed to the traveler's official or representational duties:
have different political ideologies and understated foundations of Congress and the role of bipartic	kley's office. As part of that role, I must collaborate effectively with colleagues who and Congress's legislative function. The seminars during this trip about the isanship will better equip me to perform my job duties by allowing me to better able more collaboration with my colleagues across political ideologies
Name of accompanying family member (if a Relationship to Employee: Spouse Spouse I certify that the information contained in the	
48 19 (Date)	(Signature of Employee)
TO BE COMPLETED BY SUPERVISING SEN Secretary for the Majority, Secretary for the Min	NATOR/OFFICER (President of the Senate, Secretary of the Senate, Sergeant at Arms, nority, and Chaplain):
Jeffrey A. Merkley	hereby authorize Anthony Mitchell
(Print Senator's/Officer's Name)	(Print Traveler's Name)
related expenses for travel to the event descri	accept payment or reimbursement for necessary transportation, lodging, and ribed above. I have determined that this travel is in connection with his or her der, and will not create the appearance that he or she is using public office for
I have also determined that the attendance of the Senate. (signify "yes" by checking box) [ 4-10-14	f the employee's spouse or child is appropriate to assist in the representation
(Date)	(Signature of Supervising Senator/Officer)

# PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee MUST also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

1.	Sponsor(s) of the trip (please list all sponsors): The Aspen Institute Inc. (Socrates Program) ("AISP")
	· •
2.	Description of the trip: The AISP is sponsoring a Socrates seminar to discuss leadership,
	responsible governance and the role of Congress.
3.	Dates of travel: May 10 -12, 2019
4.	Place of travel: Queenstown, Maryland
5.	Name and title of Senate invitees: See attached.
6.	I certify that the trip fits one of the following categories:
	(A) The sponsor(s) are not registered lobbyists or agents of a foreign principal <u>and</u> do not retain or employ registered lobbyists or agents of a foreign principal <u>and</u> no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip.
	(B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (see question 9).
7.	I certify that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
	I certify that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
8.	I certify that:
	The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for <i>de minimis</i> lobbyist involvement.
	The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (see question 9).

9.	USE ONLY IF YOU CHECKED QUESTION 6(B)  I certify that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a
	foreign principal, one of the following scenarios applies:
	(A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip.
	(B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip (see questions 6 and 10).
	(C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip.
10.	USE ONLY IF YOU CHECKED QUESTION 9(B) If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:
11.	An itinerary for the trip is attached to this form. I certify that the attached itinerary is a detailed (hour-
	by-hour), complete, and final itinerary for the trip.
12.	Briefly describe the role of each sponsor in organizing and conducting the trip:
12.	
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Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):					
The Aspen Institute o	rganizes numerous ed	lucational activities, in	ncluding briefings, rou	ndtables, forums	
and conferences for a	diverse range of atter	ndees including feder	al and state policymal	kers, business and	
organization leaders,	members of the press	and the general pub	lic. (See attached App	endix.)	
Total Expenses for Ea	ch Participant:				
	Transportation Expenses,	Lodging Expenses	Meal Expenses	Other Expenses	
Good Faith estimate  Actual Amounts	\$78 for bus	\$236 for two nights (\$118 per night)	\$142 for breakfast, lunch, and dinner for two days (\$71 per day)	\$47 per day for conference room fees (includes meeting facilities, set up/take down, cleaning)	
State whether a) the tri	in invaluas on suome ele				
participation or b) the congressional participation.  B) The trip involves ar	trip involves an event ation:	that is arranged or or		vith regard to	
participation or b) the congressional participation	trip involves an event ation: n event that is organize	that is arranged or or	ganized specifically w	vith regard to	
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participation or b) the congressional participation.  B) The trip involves are Reason for selecting the The conference center and moderator(s).  Name and location of	trip involves an event ation: n event that is organize he location of the even er is close to Washingto	that is arranged or or ed specifically with reson, DC, which provide facility:	ganized specifically was	participation.	
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Participation or b) the congressional participation B) The trip involves are Reason for selecting the The conference center and moderator(s).  Name and location of Wye River Conference.  Reason(s) for selecting the conference center and moderator (s).	trip involves an event ation: n event that is organize he location of the even er is close to Washingto hotel or other lodging e Center, 600 Aspen C	that is arranged or or ed specifically with re on, DC, which provide facility: Or, Queenstown, MD	ganized specifically was	participation.	
participation or b) the congressional participation.  B) The trip involves are Reason for selecting the and moderator(s).  Name and location of Wye River Conference.  Reason(s) for selecting the Conference center and the confe	trip involves an event ation:  n event that is organize  r is close to Washingto  hotel or other lodging e Center, 600 Aspen C	that is arranged or or ed specifically with re on, DC, which provide facility: Or, Queenstown, MD  g facility: ng space and lodging	ganized specifically was gard to congressional es for ease of travel for the support off-the-received to support o	participation.  practicipants  ord, non-partisan	

21.	Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:
	Rooms are \$118/ day, which reflects the per diem limit.
	Meals are \$71/day, which reflects the per diem limit.
22.	Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:
	Transportation will be provided by chartered, coach-class bus to and from the conference center.
23.	I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).
24.	List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:  None
25.	I hereby certify that the information contained herein is true, complete and correct. (For trips involving more than one sponsor, you must include a completed signature page for each additional sponsor):  Signature of Travel Sponsor:
	Name and Title: Elliot Gerson, Executive Vice President, Policy & Public Program
	Name of Organization: The Aspen Institute
	Address: 2300 N Street NW, Washington DC 20037
	Telephone Number: 202-736-5859 (Lisa Jones, Deputy General Counsel)
	Fax Number: 202-467-0790
	E-mail Address: lisa.jones@aspeninstitute.org

Appendix to Private Sponsor Travel Certification Form, Aspen Institute Socrates Program for the Emerging Governance Leaders Seminar, May 10-12, 2019

Question 12. Briefly describe the role of each sponsor in organizing and conducting the trip (response continued) – The Aspen Institute Socrates Program received general, unrestricted funding from the Democracy Fund to support the Socrates Program. The funding was not earmarked for this trip. Democracy Fund did not play a role in organizing or planning the conference, including, but not limited to the content, agenda, moderators, attendees or other logistics.

Questions 13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to the mission (response continued) —The Socrates Program seeks to provide a forum for emerging leaders from various professions to convene and explore contemporary issues through expert-moderated dialogue. The purpose of the trip is to conduct a non-partisan educational seminar on leadership, responsible governance and the role of Congress that encourages off-the-record, candid exchanges of ideas to support staffers' learning and development.

Question 15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips) (response continued) - Socrates Program organizes seminars every year bringing together a diverse group of professional to explore issues, discuss current topics and encourage dialogue and exchange of ideas.



#5. Name and titles of Senate invitees. This was an open application process and the following list is of the accepted attendees:

Ms. Elizabeth Coats
Staff Assistant
U.S. Senate Committee on Appropriations

Mr. Anthony Mitchell
Legislative Correspondent
Office of Senator Jeff Merkley

Ms. Alaura Ervin
Deputy Legislative Assistant
Office of Senator Tim Scott

Mr. Oliver Riquelme Legislative Correspondent Office of Kirsten Gillibrand

Mr. Jonathan Carter Legislative Correspondent Office of Senator Richard Blumenthal

Mr. Rachit Choksi
Oversight & Investigations Counsel
U.S. Senate Committee on Environment and
Public Works

Mr. Shawn Campbell
Correspondence Assistant
Office of Senator Richard Blumenthal



# Emerging Governance Leaders Seminar

Leadership & the Role of Congress

(Moderated by Dr. Colleen Shogan)

## Seminar Weekend Schedule

May 10-12, 2019 Aspen Institute Wye River Campus Queenstown, Maryland Seminar Location: River House

# Friday, May 10th

5:00 p.m.

Shuttle departure from Capitol Hill

6:45 p.m.

Shuttles arrives at Aspen Institute Wye River Campus

7:00 p.m. - 8:00 p.m.

Check-In & Regestration

River House

8:00 p.m. – 9:30 p.m.

Socrates Opening Dinner and Program

"Responsible Governance and Your Role in Congress"

A conversation addressing norms of governance, hyperpartisanship, political polarization, and the experiences of

Congressional staffers.

Moderated by Cordell Carter, Socrates Program Director

River House Dining Room

# Saturday, May 11th

7:45 a.m. – 9:00 a.m.

Breakfast

River House Dining Room'

9:00 a.m. – 12:00 p.m.

Seminar Session I: The Evolution of Congress: How Did We

Get Here?

River House Main Conference Room

Seminar discussion based on the following texts and videos: Origins:

Hamilton or Madison, "No. 57" and "No. 63," The Federalist Papers, 1788



- Davidson, Oleszek, Lee, and Schickler. "Institutional Evolution," Congress and Its Members
- Nelson Polsby, "Causes of Liberalization: Rise of the Two Party South," and "How Congress Evolves: Overview of the House," How Congress Evolves
- Barbara Sinclair, "The New World of U.S. Senators,"
   Congress Reconsidered

What Does It Mean to Represent? Mr. Smith versus Charlie Wilson

"Mr. Smith Goes To Washington" movie clip. 1939 "Charlie Wilson's War" movie clip. 2007

10:30 a.m. – 10:45 a.m.

Morning Break

River House Break Room

12:00 p.m. – 1:00 p.m.

Working Lunch with Discussion of Seminar Session I

Conversation will consider the evolution of Congress and what it means to represent constituents and communities.

River House Dining Room

1:00 p.m. – 4:00 p.m.

# Seminar Session II: Congress and Its Governance Challenges

River House Main Conference Room

Seminar discussion based on the following texts:

 Davidson Oleszek, Lee, and Schickler. "Congress as Institution," Congress and Its Members
 Barbara Sinclair, "Assessing Unorthodox Lawmaking," Unorthodox Lawmaking

#### Resources and Knowledge in Congress

Kevin Kosar and various authors, "Restoring Congress as the First Branch." R Street Policy Study No. 50 Colleen Shogan, "The Knowledge Culture of Congress," Leg Branch Blog Matthew Glassman, "Why Congress Doesn't Always Do The Right Thing." New York Times

#### Technology and Changing Norms of Representation

- Samantha McDonald, "Staff Perspectives on the State of Constituent Correspondence in the U.S. Congress," Leg Branch Blog
- Lorelei Kelley and Robert Bjarnason, "Our Modern Congress Doesn't Understand 21st Century Technology," TechCrunch, March 6, 2018



# Partisanship and Policymaking

Sarah Binder, "Legislating in Polarized Times," Congress Reconsidered

2:30 p.m. – 2:45 p.m.

Afternoon Break

River House Break Room

4:30 p.m. – 6:30 p.m.

Historical Tour of Wye

The Aspen Institute Wye campus is a site imbued with historical moments in American political discourse and policy decisions - from early days as the home of William Paca, a signer of the Declaration of Independence and the third governor of Maryland, to more recent moments as the site for negotiations between Israel and the Palestine Authority. This historical tour will connect the seminar to place and embody the rich history of American discourse and governing in Washington and beyond.

Wye Campus

7:00 p.m. – 8:30 p.m.

## Working Dinner with Discussion of Seminar Session II

Conversation will consider the governance challenges of Congress, exploring what resources and knowledge inform Congress and how technology and changing norms of representation are affecting governance.

River House Dining Room

8:45 p.m. – 10:00 p.m.

#### Fireside Chat

"Life & Lessons in Congress"

A conversation with William Hoagland, Bipartisan Policy

Center Senior Vice President (or another former

staffer/member)

Moderated by Cordell Carter, Socrates Program Director

River House Library

# Sunday, May 12th

7:45 a.m. – 9:00 a.m.

Breakfast

River House Dining Room

9:00 a.m. – 12:00 p.m.

Seminar Session III: Congressional Reform

River House Main Conference Room

Seminar discussion based on the following texts:



## What's Working Well and What Isn't

Colleen Shogan, "Defense Authorization: The Senate's Last Best Hope," Party and Procedure in the United States Congress

Josh Huder, "Democrats Want to Modernize the House.
 Good Luck With That," The Washington Post

# Reform Proposals

Zach Graves, "Rebuilding a Technology Assessment Office in Congress," R Street Policy Study 152 Congressional Institute, "Congressional Reform Concepts" Jim Newell, "The Shutdown to End All Shutdowns," Slate

10:30 a.m. – 10:45 a.m.

Morning Break

- River House Break Room

12:00 p.m.

Check-out

Program concludes after Seminar Session III

12:00 p.m. – 1:00 p.m.

Working Lunch with Discussion of Seminar Session III

Conversation will consider the Articles 1 and 2 of the Constitution, and how foundations of the U.S. government inform and influence the role of Congress today.

River House Dining Room

1:00 p.m.

Shuttle departs for Capitol Hill

2:30 pm

Shuttle drops off participants